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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen et al.

Application No. 10/620,267

Filed: July 14, 2003

Confirmation No. 8729

For: UNIFIED LOSSY AND LOSSLESS AUDIO
COMPRESSION

Examiner: To be assigned

Art Unit: 2641

Attorney Reference No. 3382-65135

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I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

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PURSUANT TO 37 C.F.R. § 1.97(b)

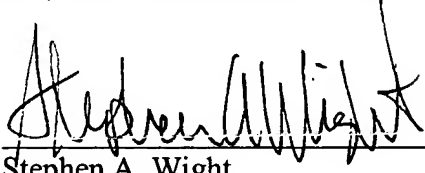
Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

Applicants filed this Information Disclosure Statement ("IDS") within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in § 1.491 in an international application, before the mailing date of a first Office action on the merits, or before the mailing of a first Office action after the filing of request for continued examination under § 1.114. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicants to file this IDS, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

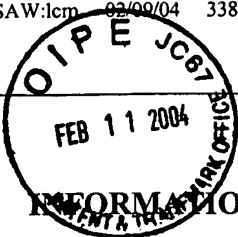
Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	3382-65135
Application Number	10/620,267
Filing Date	July 14, 2003
First Named Inventor	Chen et al.
Art Unit	2641
Examiner Name	To be assigned

U.S. PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Number	Date	Name
		6,141,446	10/2000	Bolick et al.
		6,121,904	9/2000	Levine
		5,361,278	11/1994	Vaupel et al.

FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Number	Date	Country

OTHER DOCUMENTS

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		Hans et al., "Lossless Compression of Digital Audio", <i>IEEE Signal Processing Magazine</i> , Vol. 18, No. 4, pp. 21-32 (July 2001)
		Kofidis et al., "Wavelet-based medical image compression", <i>Future Generations Computer Systems, Elsevier Science Publishers</i> , Vol. 15, No. 2, pp. 223-243 (March 1999)
		Elder, "Coding of Audio Signals with Overlapping Block Transform and Adaptive Window Functions", <i>FREQUENZ, Schiele und Schon GMBH, Berlin, Germany</i> , pp. 252-256 (September 1989)
		Bosi et al., "ISO/IEC MPEG-2 Advanced Audio Coding", <i>Journal of the Audio Engineering Society, Audio Engineering Society, New York</i> , pp. 789-812 (October 1997)
		Golomb, "Run Length Encodings", <i>IEEE Transactions on Information Theory, IEEE, Inc., New York</i> , pp.399-401 (July 1996)

EXAMINER
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DATE
CONSIDERED:

* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.